

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Taku ISHIZAWA, et al.

Appln. No.: 09/765,411

Confirmation No.: 9456

Filed: January 22, 2001

**RECEIVED**  
JAN 30 2004  
**OFFICE OF PETITIONS**

Docket No: Q62798

Allowed: April 28, 2003

Group Art Unit: 2861

Examiner: Anh Vo

For: INK CARTRIDGE, AND INK-JET RECORDING APPARATUS USING THE SAME

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP ISSUE FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the document which is listed on the attached PTO/SB/08 A & B (modified) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of the listed document is submitted herewith.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a proper Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/765,411

Attorney Docket # Q62798

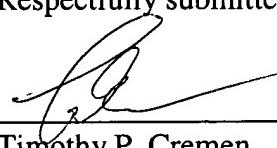
Applicants note that, although the outstanding Office Action in the above named Application has been indicated as a "Final" Action, this indication is improper, for at least the reasons listed in the Petition to Withdraw Finality included herewith. Thus, Applicants request that the Examiner enter this Information Disclosure Statement without requiring a Statement Under 37 C.F.R. § 1.97(e), and consider the attached references immediately.

A complete English translation (machine-based) of the attached foreign language document is being submitted herewith, and therefore no concise explanation for the foreign language document is required.

The submission of the listed document is not intended as an admission that the document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE  
**23373**  
CUSTOMER NUMBER

Date: January 23, 2004

Substitute for Form 1449 A & B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/765,411
				Confirmation Number	9456
				Filing Date	January 22, 2001
				First Named Inventor	Taku ISHIZAWA
				Art Unit	2861
				Examiner Name	Anh Vo
				Attorney Docket Number	Q62798
Sheet		1	of	1	



U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			<b>RECEIVED</b>
		US			JAN 30 2004
		US			
		US			
		US			
		US			<b>OFFICE OF PETITIONS</b>

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		
		JP	10-138506	A	05-26-1998	Seiko Epson Corp
						Yes (Full)

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.